GLOBAL PLASTICS TREATY

How the treaty can address the biodiversity crisis





"Biodiversity is the foundation of life. Without it, there is no life" – Elizabeth Mrema, Assistant Secretary-General of the UNEP

The parties of the Intergovernmental Negotiating Committee (INC) must develop and adopt an ambitious global plastics treaty that fully incorporates the protection of biodiversity and ecosystem health. At the fourth session of the INC, negotiators have a unique opportunity to shape the text in order to minimise threats to biodiversity; reverse further loss; and facilitate ecosystem restoration and improved resilience – all of which is critical to human wellbeing and planetary stability. At present, biodiversity impacts and concerns are insufficiently mentioned in the revised zero draft text.

Strengthening the revised draft text for biodiversity

Biodiversity, people and plastic pollution are intricately linked. All life on earth is dependent on healthy biodiverse ecosystems, but our ecosystems are detrimentally impacted by plastic pollution. Plus, the communities that are most reliant on healthy ecosystems and thriving biodiversity, are largely local, marginalised communities, particularly in developing economies, and are disproportionately impacted by the effects of plastic pollution.

The plastics treaty presents an opportunity to address global biodiversity loss and the triple planetary crisis head-on by aligning with existing targets of other biodiversity focused Multilateral Environmental Agreements (MEAs) and strengthening language, in both the preamble and across operative provisions, to be more biodiversity positive and safeguard local communities. Further, biodiversity can be better supported in the treaty through the inclusion of measures that focus on the full lifecycle approach that consider and tackle both the physical and chemical impacts of plastic pollution, including alternative plastics; and focus on production reduction versus end-of-life interventions and adheres to the waste hierarchy principle. Further, any measures to tackle legacy plastic pollution must be locally appropriate and ecologically sensitive to prevent further harm to biodiversity or communities most at risk.

Importantly, the international legally binding instrument must be informed by an expert technical science-advisory body, anchored in the best available science, so that the treaty receives evidence-based support, free from conflict of interest to safeguard against 'false solutions' and unintentional negative biodiversity impacts. Advising and guiding the development and implementation of the treaty, this body should be independent, globally representative, and multidisciplinary, and incorporate both academic research and local, traditional and Indigenous Peoples' knowledge, and facilitate knowledge exchange with all relevant actors.

This document focuses on four of the provisions in Part II that have direct relevance to and impact on biodiversity. We indicate where biodiversity considerations can clearly be strengthened and have a meaningful impact:

- 5 d. Alternative plastics and plastic products
- 6. Non-plastic substitutes
- 9. Waste management
 - o 9 b. Fishing gear
- 11. Existing plastic pollution, including in the marine environment

There is a separate proposal advocating that a standalone provision on "Biodiversity Aspects" would be beneficial ¹, but there is also a need and opportunities for specific language recommendations in specific provisions where biodiversity impacts and nature positive measures need to be properly addressed.

Key messages

- Plastic pollution sits at the heart of the triple planetary crisis, and the need for the treaty to urgently tackle plastic pollution is undoubted. However, without more attention to biodiversity impacts the treaty risks missing opportunities to reverse the biodiversity crisis or could even aggravate the situation. Upcoming negotiations represent an opportunity to strengthen the current draft treaty text to better ensure actions to tackle plastic pollution are also biodiversity positive.
- Centering and strengthening biodiversity positive language throughout the treaty text; in the Preamble, Principles, Scope and Articles will help bridge gaps and create synergies with other treaties and agreements on plastic pollution and its impact on biodiversity and local communities.
- Details on preferred language and text recommendations for Part II Provisions 5 d., 6, 9 and 11 are available in the table below. The suggestions stem from the general principles that the treaty must:
 - Incorporate a full lifecycle approach that considers and tackles both the physical and chemical impacts of plastic pollution, including alternative plastics;
 - Align with existing targets of other biodiversity-focused MEAs;
 - Only promote locally appropriate, ecologically sensitive clean-up and restoration activities to prevent further harm to biodiversity;
 - Deal with production reduction as well as end-of-life interventions and adhere to the principles of the Waste Hierarchy.





The treaty, the triple planetary crisis and other international agreements

Plastic pollution, climate change and biodiversity loss (constituting the triple planetary crisis) are inextricably interconnected, and the treaty must consider them together. The treaty and the approach to implementation must recognise that plastic pollution of all scales (macro- and micro- plastics ⁱ) is a major driver within the triple planetary crisis. Greenhouse gases are released at every stage of the plastic lifecycle – during extraction, production, recycling and end-of-life phases ² - and plastic pollution detrimentally affects the health and resilience of over 2000 species ³ both through its immediate physical impacts and the longer-term effects associated with its degradation and its inherently toxic chemical properties ⁴. Further, plastic pollution causes the breakdown of crucial natural systems that we are reliant on, including highly productive coral reefs, seagrass mats and mangrove forests ⁵.



Impacts of plastic pollution on marine biodiversity. Source: GRID-Arendal, 2021

Given this critical link connecting climate change, pollution and habitat destruction, the treaty must be fully aligned to uphold targets of existing MEAs. Further, there are clear opportunities to create synergies, with overlapping terms and regulatory measures ^{6, 7}, with several existing MEAs and other treaty regimes that intersect with plastic pollution and have commonalities with the treaty, such as tackling transboundary pollution, designating hazardous pollutants and addressing biodiversity loss. For example, the treaty should harness the ambition set out in the Global Biodiversity Framework target 7 to "Reduce pollution risks and the negative impact of pollution from all sources, by 2030, to levels that are not harmful to biodiversity and ecosystem functions services, considering and including: cumulative effects. [...] preventing, reducing, and working towards eliminating plastic pollution". MEAs will support global efforts to protect biodiversity and local communities reliant upon productive ecosystems, while also encouraging the de-siloing of MEAs.

^{i.} We broadly define microplastics as pieces of plastic measuring 5 mm or less in any dimension, with no lower size limit, thereby including nanoplastics. For full details of the recommended definition for microplastics, please see our joint submission on the potential areas of intersessional work for microplastics (2023) made to the INC Secretariat. www.fauna-flora.org/plastics-treaty





Biodiversity-positive language recommendations: Preferred Options, recommended text and rationale

To support Parties in negotiations, we have identified a Definition in Part I and four Provisions in Part II where biodiversity messaging can clearly be strengthened and have a meaningful impact. From the options provided in the revised draft text for these specific provisions, we have selected the options which currently present the most biodiversity positive potential.

Within the text of these options we have also indicated additional language which is needed to further strengthen the approach to ensure the treaty properly addresses biodiversity impacts, or where deletions/adjustments of the current text of the option are needed.

Please also see Fauna & Flora and ZSL's joint <u>Position Statement</u> (2023), <u>Submission on</u> <u>Scope and Principles including elements not</u> <u>discussed at INC-2 (2023)</u> focusing on biodiversity and elevating local voices, and Fauna & Flora's <u>Biodiversity brief</u> (2023) on the threat plastic pollution poses to biodiversity and conservation efforts.

Specific provisions covered:

3. Definitions

5 d. Alternative plastics and plastic products (Option 3)

6. Non-plastic substitutes (Option 1)

9. Waste management (Option 1)

9 b. Fishing gear (Option 2)

11. Existing plastic pollution,

including in the marine

environment (Option 1)

Key:

- Red text Text to be deleted
- Green text Additional and amended text
- Page references are in accordance with the revised Zero Draft text dated 28 December 2023 ⁸.







Part I, Definitions

Preferred Definition (page 7 of INC.4/3)	Recommended language	Rationale
Option 1 For the purposes of this instrument: 1.["term"] means []	For the purposes of this instrument: 1.["Environment"] means [relevant aquatic, atmospheric and/or terrestrial systems and associated biodiversity.]	The term 'environment' is used throughout the document and a definition will help Parties in implementation of the Treaty.

Part II, Provision 5 d. Alternative plastics and plastic products

Option 3 is the strongest because it promotes following the waste hierarchy principle and prioritises a reduction in production of all single-use plastics, regardless of feedstock, as noted in Option 3.2.

This Article represents an opportunity to address unintended consequences and avoid negative impacts on biodiversity that could be caused by a rush to substitute fossil fuel derived plastics with alternative plastics.

We advocate that the introduction of alternatives must be evidence-based and that a full lifecycle analysis following the precautionary approach is conducted.

We suggest that wherever 'sustainable' is used in the revised draft text INC.4/3 it is defined by the <u>United Nations Brundtland Commission</u> definition, as "meeting the needs of the present without compromising the ability of future generations to meet their own needs."





Preferred Option for 5d. Alternative plastic and plastic products (page 24 of INC.4/3)	Recommended language	Rationale
 Option 3 1. This instrument* shall apply to alternative plastics and plastic products which include biobased, biodegradable and compostable plastics. 2. Parties shall be guided by the waste hierarchy and emprove that we desting in the waste field. 	 Option 3 1. This <i>instrument</i>* shall apply to alternative plastics and plastic products which include bio-based, biodegradable, oxo(bio)degradable and compostable plastics, as well as developing and future alternatives. 	We have included oxo(bio)degradable plastics in the list because this material is known to accentuate the issue of microplastic pollution. Studies show that oxo(bio)degradable plastics do not break down fully within stated timeframes and this material has been prohibited in some regions (e.g., European Union).
plastics and prevention of plastic waste, including from non-fossil feedstocks, will prevail when considering the development and use of alternative plastics and plastic products.	2. Parties shall be guided by the waste hierarchy and ensure that reduction in the use of all plastics and prevention of plastic waste, including from non-fossil feedstocks, will prevail when considering the development and use of alternative plastics and plastic products.	The chemical structure of alternative plastics can be identical to conventional plastics, meaning they can behave like- and perpetuate the same threats to biodiversity ⁹ on disposal.
plastics and plastic products are safe, environmentally sound and sustainable, based on the minimum design and performance criteria and other related elements contained in part I of Annex C, including distinct sustainability criteria for: (i) bio-based plastics, (ii) biodegradable plastics and (iii) compostable plastics. The criteria shall build on a full life cycle analysis and take into account their potential for environmental, economic, social and human health impacts, including food security.	3. Each Party shall ensure that alternative plastics and plastic products are safe, environmentally sound and sustainable, based on the minimum design and performance criteria and other related elements contained in part I of Annex C, including distinct sustainability criteria for: (i) bio-based plastics, (ii) biodegradable plastics, and (iii) oxo(bio)degradable and (iv) compostable plastics. The criteria shall build on a full life cycle analysis and take into account adopt a precautionary approach to their potential for environmental, economic, social and human health impacts, including food security.	A precautionary approach must be adopted and all alternative plastics on the market and in development must be subjected to a full life cycle analysis to avoid unintended consequences. For example, a switch to plastic derived solely from biomass (organic feedstocks) may reduce reliance on fossil fuels (benefitting the climate agenda) ¹⁰ , but it could exacerbate biodiversity loss by driving land-use changes to grow alternative feedstocks, jeopardise food security and further fuel the climate crisis ² .

Part II, Provision 5 d. Alternative plastics and plastic products





Part II, Provision 5 d. Alternative plastics and plastic products

Preferred Option for 5 d. Alternative plastic and plastic products (page 24 of INC.4/3)	Recommended language	Rationale
Option 3	Option 3	
OP3 bis. The criteria that will be established should also take into consideration avoidance of undesirable substitution and problem-shifting.	OP3 bis. The criteria that will be established should also take into consideration avoidance of undesirable substitution and problem-shifting.	
4. Parties may consider economic instruments to incentivize alternative plastics and plastic	4. Parties may consider economic instruments to incentivize alternative plastics and plastic products.	
5. Each Party shall take the necessary measures to ensure that environmental claims on (i) bio-based, (ii) biodegradable and (iii) compostable plastics are substantiated. Those measures may include labelling requirements, as set out in [Part II.13], to comply with.	5. Each Party shall take the necessary measures to ensure that environmental claims on (i) bio-based, (ii) biodegradable, and (iii) oxo(bio)degradable and (iv) compostable plastics are substantiated. Those measures may include labelling requirements, as set out in [<i>Part</i> <i>II.13</i>], to comply with.	
6. Parties are encouraged to work with relevant international organizations towards the development of standards and guidelines at the multilateral level to ensure that (i) bio- based, (ii) biodegradable and (iii) compostable plastics are safe, environmentally sound and sustainable.	6. Parties are encouraged to work with relevant international organizations towards the development of standards and guidelines at the multilateral level to ensure that (i) bio-based, (ii) biodegradable, and (iii) oxo(bio)degradable and (iv) compostable plastics are safe, environmentally sound and sustainable.	





Part II, Provision 6. Non-plastic substitutes

We support Option 1 because, similarly to rationale provided for Provision 5 d. (above), this Option advocates for a life cycle analysis of non-plastic substitutes.

We have strengthened the language to ensure that the waste hierarchy principle is followed to best protect biodiversity and that solutions are locally appropriate to ensure that local communities are not negatively affected by the switch to non-plastic alternatives.

Preferred Option for 6. Non-plastic substitutes	Recommended language	Rationale
(page 24 of INC.4/3)		
Option 1	Option 1	This Provision should
	•[Subject to its national plan and based upon national	recognise that solutions may
1. [Subject to its national plan and based upon national	circumstances and capabilities, each][Each] Party shall	differ between locations, and
circumstances and capabilities, each][Each] Party shall	take measures to foster locally appropriate innovation [,	therefore must be locally
take measures to foster innovation [, including through	including through the cooperation mechanism referred to in	appropriate to ensure negative
the cooperation mechanism referred to in [Part III]]	[Part III]] and incentivize and promote [the research] and	impacts are not passed onto
and incentivize and promote [the research,] the	innovation of the development and use at scale of safe,	local communities as a result
development and use at scale of safe, environmentally	affordable, environmentally sound, and sustainable non-	of switching to non-plastic
sound, and sustainable non-plastic substitutes, including	plastic substitutes, including products, technologies and	alternatives.
products, technologies and services, taking into account	services, taking into account their potential for [waste	
their potential for [waste reduction and reuse, as well as]	reduction and reuse, as well as] environmental, economic,	We have included language
environmental, economic, social[, cultural] and human	social[, cultural] and human health impacts[, based on life	(taken from Option 4) that
health impacts[, based on life cycle assessments] [as	cycle assessments] [as well as the developing countries'	Parties must apply the waste
well as the developing countries' access to the transfer	access to the transfer of necessary technologies and	hierarchy principle in the
of necessary technologies and financial resources].	financial resources]. Parties shall ensure that the	development and use of safe,
	application of the waste hierarchy and comparative life	environmentally sound and
	cycle analysis are incorporated into the use and promotion	sustainable non-plastic
	or non-plastic substitutes.	substitutes.





Part II, Provision 6. Non-plastic substitutes

Preferred Option for 6. Non-plastic substitutes (page 24 of INC.4/3)	Recommended language	Rationale
Option 1	Option 1	
2. Parties [are encouraged][shall ensure] to use regulatory and economic instruments, public procurement and incentives ¹² to promote the development and use of safe, environmentally sound and sustainable non-plastic substitutes[, taking into account national circumstances and capacities].	2. Parties [are encouraged][shall ensure] to the use of regulatory and economic instruments, public procurement and incentives ¹² to promote the development and use of safe, environmentally sound and sustainable non-plastic substitutes[, taking into account national circumstances and capacities].	
OP2 bis 1. The financial mechanism established by the governing body* shall ensure technology transfer to developing country Parties, in order to facilitate adoption and use of at scale safe, environmentally sound, and sustainable non plastic polymers.	OP2 bis 1. The financial mechanism established by the governing body* shall ensure technology transfer to developing country Parties, in order to facilitate adoption and use of at scale safe, environmentally sound, and sustainable non plastic polymers.	
OP2 bis 2. This provision would entail the adoption of a holistic set of criteria encompassing safety, environmental viability, and sustainability for non-plastic substitutes. Such criteria would incorporate a comprehensive life cycle assessment, ensuring a thorough evaluation of the environmental impact across the entire product life cycle.	OP2 bis 2. This provision would entail the adoption of a holistic set of criteria encompassing safety, environmental viability, and sustainability for non-plastic substitutes. Such criteria would incorporate a comprehensive life cycle assessment, ensuring a thorough evaluation of the environmental impact across the entire product life cycle.	
OP2 bis 3. The measures taken to implement this provision shall be reflected in the national plan communicated pursuant to [Part IV.1 on national plans].	OP2 bis 3. The measures taken to implement this provision shall be reflected in the national plan communicated pursuant to [Part IV.1 on national plans].	





Part II, Provision 6. Non-plastic substitutes

Preferred Option for 6. Non-plastic substitutes (page 24 of INC.4/3)	Recommended language	Rationale
Option 1	Option 1	
OP2 bis 4. Parties are encouraged to use the best available science, traditional knowledge, knowledge of Indigenous peoples and local knowledge systems [in the development of safe, environmentally sound, and sustainable non-plastic substitutes].	OP2 bis 4. Parties <u>are encouraged to should</u> use the best available science, traditional knowledge, knowledge of Indigenous peoples and local knowledge systems <u>fin the development of safe, environmentally sound, and sustainable non-plastic substitutes</u>].	
OP2 ter. Parties are encouraged to establish a process for the assessment of the safety and sustainability of potential substitutes to plastics and their suitability as substitutes, considering their potential impacts on human health and the environment, the waste hierarchy, and "reduce, reuse and recycle" approaches.	OP2 ter. Parties areshall encouraged to establish a process for the assessment of the safety and sustainability of potential substitutes to plastics and their suitability as substitutes, considering their potential impacts on human health and the environment, the waste hierarchy, and "reduce, reuse and recycle" approaches.	





Option 1 is our preferred option because it requires plastic waste to be managed in a safe and environmentally sound way throughout its lifecycle and follows the principles of the waste hierarchy to establish greater environmental and societal benefits. We are very supportive of this approach because prevention measures of all plastic pollution at source and at each stage of the life cycle is critical to restore the health and resilience of ecosystems and protect local communities. For example, sustainable design techniques must be employed that reduce use of unnecessary single-use plastic and harmful chemical additives; improve transparency throughout the supply chain, increase reuse, refurbishability and recyclability, simplify product and packaging design based on the waste hierarchy principles with end-of-life processing in mind.

We support the requirement of Option 1 that Parties and the governing body take into account best practice guidelines and that the Provision is complementary to other relevant provisions and MEAs to best protect and support biodiversity.

While Option 1 is our preferred option, we have also included text amendments/recommendations for Provisions common for Options 1,2, and 3 for waste management. Within this option, we support the requirement that Parties should take measures to prevent waste management practices that may lead to emissions and releases of hazardous substances and microplastics (e.g., incineration, ocean dumping), to reduce impacts on health and livelihoods of local communities, biodiversity and the natural environment.

Preferred Option for 9. Waste management (page 30 of INC.4/3)	Recommended language	Rationale
 Option 1 Each Party shall take effective measures to ensure that [producers manage] plastic waste [is managed] in a [safe and] environmentally sound manner [throughout its [different stages][life-cycle], [including] handling, [collection,] [sorting,] transportation, storage, recycling[treatment][, other recovery including energy recovery] and final disposal][,][taking into account 	 Option 1 1. Each Party shall take effective measures to ensure that [producers manage] plastic waste [is managed] in a [safe and] environmentally sound manner [throughout its [different stages][life-cycle], [including] handling, [collection,] [sorting,] transportation, storage, recycling[treatment][, other recovery including energy recovery] and final disposal][,][taking into account 	Option 1.1 - We have deleted 'producers manage' because we do not agree with the emphasis that responsibility for the outcome of environmentally sound waste





Preferred Option for 9. Waste management (page 30 of INC.4/3)	Recommended language	Rationale
Preferred Option for 9. Waste management (page 30 of INC.4/3) Option 1 [recognizing that] the waste hierarchy][establishes that greater environmental and social benefit occurs when actions at the top of the hierarchy are prioritized.][[,] and the special circumstances of small island developing States.][Each Party shall take effective measures on safe and environmentally sound waste management at its different stages, including handling, collection, transportation, storage, recycling and final disposal of plastic waste. The measures taken to implement this provision shall be reflected in the national plan communicated pursuant to [Part IV.1 on national plans], with an aim of achieving nationally determined targets and [minimum requirements developed based on the harmonized indicators set out in [part II of annex F]]].	Recommended language Option 1 [recognizing that] the waste hierarchy] which [establishes that greater environmental and social benefit occurs when actions at the top of the hierarchy are prioritized.][[,] and the special circumstances of small island developing States.][Each Party shall take effective measures on safe and environmentally sound waste management at its different stages, including handling, collection, transportation, storage, recycling and final disposal of plastic waste. The measures taken to implement this provision shall be reflected in the national plan communicated pursuant to [<i>Part IV.1 on national plans</i>], with an aim of achieving nationally determined targets, including reduced production, and [minimum requirements developed based on the harmonized indicators set out in [part II of annex F]]]. 2 Each Party shall meet the requirements- lincluding!**	Rationale management should only fall on producers. This does not detract from the need for producers to take their share of responsibility. Clean up and end of life interventions will not prevent plastic pollution in the absence of upstream measures, therefore this article must ensure the waste hierarchy is followed as noted in Option 1.1 and actions at
2. Each Party shall meet the requirements, [including]** where relevant through a sectoral approach, for [minimum] [safe and] environmentally sound [management of plastic waste, including through] [collection,] recycling and disposal rates[, set out in part I of annex F,] [taking into account][respecting][the waste hierarchy and other] relevant provisions[,][and taking into account] guidance and guidelines in [accordance with relevant arrangements	2. Each Party shall meet the requirements, <u>[including]**</u> where relevant through a sectoral approach, for [minimum] [safe and] environmentally sound [management of plastic waste, including through] [collection,] recycling and disposal rates[, set out in part I of annex F,] [taking into account][respecting][[the waste hierarchy and other] relevant provisions[,][and taking into account] guidance and guidelines in [accordance with relevant arrangements	Option 1.1, and actions at the top of the hierarchy are prioritised e.g. production reduction.





Preferred Option for 9. Waste management (page 30 of INC.4/3)	Recommended language	Rationale
Preferred Option for 9. Waste management (page 30 of INC.4/3) Option 1 under] other international agreements, [including those developed under][inter alia] the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal[, as appropriate][, the London Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter and its Protocol on the International Convention for the Prevention of Pollution from Ships][the International Convention for the Prevention of Pollution from Ships][the International Convention for the Prevention of Hazardous Wastes]. 3. The governing body* [shall][may][, where necessary,]	Recommended language Option 1 under] other international agreements, [including those developed under][inter alia] the High Seas/Biodiversity Beyond National Jurisdiction (BBNJ) Treaty, the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal[, as appropriate][, the London Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter and its Protocol on the International Convention for the Prevention of Pollution from Ships][the International Convention for the Prevention of Pollution from Ships (MARPOL) Annex V of the International Maritime Organization and the Bamako Convention on Hazardous Wastes].	Rationale These measures should complement timelines for other relevant international guidelines and targets as noted in Option 1.2, ¹¹ , such as the High Seas/Biodiversity Beyond National Jurisdiction (BBNJ) Treaty that requests action to tackle plastic pollution while simultaneously addressing the interlinkages between
adopt requirements, [best practice] guidance and guidelines for the implementation of the provisions in paragraph 2, additional or complementary to the relevant guidance and guidelines developed under other international agreements mentioned above.	3. The governing body* [shall][may][, where necessary,] adopt requirements, [best practice] guidance and guidelines for the implementation of the provisions in paragraph 2, additional or complementary to the relevant guidance and guidelines developed under other international agreements mentioned above.	biodiversity loss, chemicals and waste, climate change and pollution.





Option 1 is our preferred option, as detailed above, but for reference, we also provide text amendments/recommendations for Provisions common for Options 1,2, and 3 for waste management.

Provisions common for Options 1,2, and 3 for 9. Waste management (page 31 of INC.4/3)	Recommended language	Rationale
Provisions common for Options above (1,2, and 3)	Provisions common for Options above (1,2 and 3)	Waste management must be
	[[4][3] Each Party shall {not allow waste management	carried out such that the
[[4][3] Each Party shall [not allow waste management	practices [listed in part III of annex F] that may lead to the	impacts of emissions across
practices [listed in part III of annex F] that may lead to	emissions and releases of hazardous substances or	the full life cycle and/or
the emissions and releases of hazardous substances,	microplastics,-{based on strong scientific evidence,} and shall	breakdown of plastic
[based on strong scientific evidence,] and shall regulate	regulate the other allowed waste management practices that	products at the end of life
the other allowed waste management practices that	may lead to the emissions and releases of the hazardous	are minimised in order to
may lead to the emissions and releases of the	substances and microplastics [listed in part IV of annex F.] and	reduce impacts on local
hazardous substances [listed in part IV of annex	take measures to prevent provide alternative options to open	communities, biodiversity
F.][take measures to prevent open dumping and open	dumping and open burning of plastic waste.	and the natural
burning of plastic waste.]		environment.
	[5][4] Each Party shall take [the necessary] measures to	
[5][4] Each Party shall take [the necessary] measures to	prevent fopen dumping, ocean dumping, littering fand open	Microplastics: We broadly
prevent [open dumping, ocean dumping,] littering [and	burning Hof plastic waste in order to reduce impacts on the	define microplastics as
open burning][of plastic waste].	health and livelihoods of local communities, biodiversity and	pieces of plastic measuring
	the natural environment.	5 mm or less in any
[6][5] [It is recommended to] [Each][Parties][Party]		dimension, with no lower
[shall][are][is] encouraged] [to] [take additional	[6][5] [It is recommended to] [Each][Parties][Party] [shall	size limit, thereby including
measures[, according to their national capacities,] [to][] [are][is] encouraged] [to] [take additional measures [,	nanoplastics.
related to waste management, with developing country	according to their national capacities,] [to][related to waste	
parties being supported by international cooperation	management, with developing country parties being supported	Once microplastics are lost
and, in particular, the cooperation mechanism referred	by international cooperation and, in particular, the cooperation	to the environment they can
to in [Part III, article number yet to be defined], which	mechanism referred to in [Part III, article number yet to be	be near impossible to
[could include, inter alia]:][defined], which could include, inter alia:	recover and can have





Provisions common for Options 1,2, and 3 for 9. Waste management (page 31 of INC.4/3)	Recommended language	Rationale
Provisions common for Options above (1,2, and	Provisions common for Options above (1,2 and 3)	
3)		damaging effects on
	to adopt comprehensive economic-driven approaches	biodiversity and human health, so
to adopt comprehensive economic-driven approaches	such as establishing and operating Extended Producer	the release of microplastics (both
such as establishing and operating Extended Producer	Responsibility (EPR) scheme, including, where relevant,	primary and secondary)
Responsibility (EPR) scheme, including, where	on as sectoral basis, to incentivize improved product	must be considered across
relevant, on as sectoral basis, to incentivize increased	design, a reduction in waste generation, and increased	the full lifecyle and all
recyclability, promote higher recycling rates, and	reuse, refillability, refurbishability and recyclability,	waste management practices.
enhance the accountability of producers and importers	promote higher recycling rates, and enhance the	
for environmentally sound management, of plastics and	accountability of producers and importers for	To enable a shift away from open
plastic products throughout their life cycle.]]	environmentally sound management, of plastics and	dumping and burning of plastic
	plastic products throughout their life cycle.	waste, locally appropriate,
[a. [Invest in]] Promote investment and mobilization of		alternative waste management
resources from all sources for waste management	[a. [Invest in]] Promote investment and mobilization of	options must first be provided
systems and infrastructure, including through financial	resources from all sources for waste management	with the necessary infrastructure,
and technical support to subnational governments, that	systems and intrastructure, including through financial and	capacity building, and financial
enable[s] environmentally sound management of	technical support to subnational governments, that	support in place.
plastic wasteland enhances waste management	enable(s) environmentally sound management of plastic	
capacity];]	wasteland enhances waste management capacity];j	vvaste production should be
In Dromoto investment and mahilize resources from all	Ib. Dromoto investment and mobilize resources from all	minimised through reduction of
[D. Fromote investment and mobilize resources from an	[b. Fromole investment and mobilize resources from all	design and increased rouse
sources to cover infancing gaps for waste management	sources to cover minancing gaps for waste management	refillebility refurbicbability and
sound management of plastic waste and ophance	systems and initiasticicitie that enable environmentally	remability, refurbisitability and
waste management capacity in light of current and	management capacity in light of current and expected	
expected waste generation levels, and	waste generation levels: and	





Provisions common for Options 1,2, and 3 for 9. Waste management (page 31 of INC.4/3)	Recommended language	Rationale
Provisions common for Options above (1,2, and 3)	Provisions common for Options above (1,2 and 3)	
[c. Incentivize behavioural changes throughout the value chain[;] and [raise [consumer][public] awareness [on sustainable consumption][about plastic waste prevention and minimization][and production, as well as the critical roles of all stakeholders in reducing plastic litter[waste] and supporting recycling][, taking into account the waste hierarchy].]	[c. Incentivize behavioural changes throughout the value chain[;] and [raise [consumer][public] awareness [on sustainable consumption][about plastic waste prevention and minimization][and production, as well as the critical roles of all stakeholders in reducing plastic litter[waste] and supporting recycling][, taking into account the waste hierarchy].]	
OP [6][5] c bis. Develop, identify, and/or strengthen markets for secondary plastics.	<i>OP [6][5] c bis.</i> Develop, identify, and/or strengthen markets for secondary plastics in line with Extended Producer Responsibilities Extended Producer	
[7][6] The measures taken to implement the provisions of this article shall be reflected in the national plan	Responsibility.	
[communicated pursuant to [Part IV.1 on national plans]]. [Where appropriate, Parties are encouraged to cooperate internationally or regionally to implement the provisions of this article.]	[7][6] The measures taken to implement the provisions of this article shall be reflected in the national plan [communicated pursuant to [Part IV.1 on national plans]]. [Where appropriate, Parties are encouraged to cooperate internationally or regionally to implement the provisions of this article.]	





Part II, Provision 9 b. [Fishing gear]

We support Option 2 as the strongest option because it addresses the design, use and end-of-life phases of fishing gear to reduce emissions of plastic pollution, including microplastics, and impacts on the environment. It also requires Parties to form synergies and complementary measures with other international and regional regulations on the prevention of plastic pollution from fishing gears.

Conversely, Option 1 (and subsets) presents a weak, voluntary commitment to collection and recycling, with no emphasis on reduction, and is therefore not fit for purpose.

Preferred Option for 9 b. [Fishing gear] (page 33 of INC.4/3)	Recommended language	Rationale
Option 2. Alternative placement suggested as Section	Option 2. Alternative placement suggested as Section	We support placement of
8bis instead of 9b	8bis instead of 9b	this option under Section
		<i>8bis</i> instead of 9b because
1. This obligation should have sub-paragraphs/provisions	1. This obligation should have sub-paragraphs/provisions	there are macro- and
that require parties to take measures to: UNEP/PP/INC.4/3	that require parties to take measures to: UNEP/PP/INC.4/3	micro- plastic emissions
35	35	released throughout the
		lifecycle of fishing gear.
a. Enhance the design of fishing and aquaculture gears,	a. Enhance the design of fishing and aquaculture gears, with	Therefore, fishing gear
with a view to increasing durability, reusability, repairability	a view to increasing traceability, durability, reusability,	would benefit from a full
and refurbishability and their capacity to be repurposed,	repairability and refurbishability and their capacity to be	life cycle review and
recycled and disposed of in a safe and environmentally	repurposed, recycled and disposed of in a safe and	product re-design.
sound manner at end-of-life, and minimizing releases and	environmentally sound manner at end-of-life, and minimizing	
emissions of or from fishing and aquaculture gears,	releases and emissions of or from fishing and aquaculture	2.1 a Enhancing
including microplastics, to the environment;	gears, including microplastics, to the environment reducing	traceability of fishing gear
	the key threat of bycatch posed to biodiversity;	would enable
b. Implement effective marking of gears and require		ALDFG to be tracked
reporting of lost gears, in accordance with other relevant	b. Implement effective marking of gears and require	back to users and
regional and international regulations, including MARPOL	reporting of lost gears, in accordance with other relevant	producers, improving
Annex V;	regional and international regulations, including MARPOL	accountability.
	Annex V:	





Part II, Provision 9 b. [Fishing gear]

Preferred Option for 9 b. [Fishing gear] (page 33 of	Recommended language	Rationale
INC.4/5)		
Option 2. Alternative placement suggested as Section	Option 2. Alternative placement suggested as Section	
8bis instead of 9b	8bis instead of 9b	Fishing gear poses a key
		threat to biodiversity loss,
c. Improve the management of gear at the end of its usable	c. Improve the management of gear at the end of its usable	however at present, none
life, including the reuse, repair and recycling of gear; and	life, including the reuse, repair and recycling of gear; and	of the draft options
		explicitly recognise the
d. Promote and facilitate training, education and awareness	d. Promote and facilitate training, education and awareness	negative impact of fishing
raising.	raising to all stakenoiders, including, the local-communities,	gear on blodiversity loss
2. The manufactor taken to implement this provision shall be	civil society, and cilizens, non-governmental organizations	and numan nealth and
2. The measures taken to implement this provision shall be reflected in the national plan communicated pursuant to	as well as the physic sector, to reduce impacts on health and livelihoods of local communities, biodiversity and the	hoth bycatch in active
[Part IV 1 on national plans]	natural environment	fishing and in Abandoned
		Lost, or Discarded Fishing
Inclusion of an obligation for parties to promote synergy and	2. The measures taken to implement this provision shall be	Gear (ALDFGs). This
complementarity with relevant initiatives, organizations, and	reflected in the national plan communicated pursuant to	issue is well-documented
regional and international authorities in their respective	[Part IV.1 on national plans].	in literature and
actions towards the prevention of plastic pollution from		entanglement in fishing
fisheries safe disposal of fishing and aquaculture gear.	Inclusion of an obligation for parties to promote synergy	gear is a significant cause
	and complementarity with relevant initiatives, organizations,	of mortality for multiple
	and regional and international authorities in their respective	taxa, including cetaceans
	actions towards the prevention of plastic pollution from	and sea turtles.
	tisheries safe disposal of fishing and aquaculture gear.	





Part II, Provision 9 b. [Fishing gear]

Preferred Option for 9 b. [Fishing gear] (page 33 of INC.4/3)	Recommended language	Rationale
Preferred Option for 9 b. [Fishing gear] (page 33 of INC.4/3) Option 2. Alternative placement suggested as Section 8bis instead of 9b Furthermore remediation of abandoned, lost and discarded fishing gear is important to protect the marine environment, the provision [in Part II.11] on existing plastic pollution, including in the marine environment, should provide the obligation on parties to take measures to remediate Abandoned, Lost and Discarded Fishing Gear, in an environmentally sound manner and in accordance with scientific and evidence-based social, economic and	Recommended language Option 2. Alternative placement suggested as Section 8bis instead of 9b Furthermore remediation of abandoned, lost and discarded fishing gear is important to protect the marine and freshwater environments, the provision [in Part II.11] on existing plastic pollution, including in the marine and freshwater environments, should provide the obligation on parties to take measures to remediate Abandoned, Lost and Discarded Fishing Gear, in an environmentally sound manner and in accordance with scientific and evidence-	Rationale With most of the world's fishing gear now being made from plastic (including so-called 'cotton' nets in India), design improvements which would make recovery, recyclability, and circular economy for nets at the local level more
environmental impact assessments, using the best available techniques and environmental practices to avoid exacerbating environmental harm.	based social, economic and environmental impact assessments, using locally appropriate and ecologically sensitive clean-up and restoration activities using the best available techniques and environmental practices to avoid exacerbating environmental harm.	feasible is essential, as captured in Option 2.





We always promote that robust, upstream measures to prevent plastic pollution at source must be prioritized because clean up and end of life interventions cannot prevent plastic pollution.

Therefore, under this Provision we advise that the waste hierarchy principle is followed and actions at the top of the hierarchy and reduction measures such as reuse and refill and safe recycling are prioritised, rather than clean-up technologies. Legacy plastic pollution removal and remediation technologies must be approached with caution because they can cause unintentional damage to biodiversity with possible bycatch of marine life and the release of greenhouse gases from vessels. Therefore, we encourage that all remediation and removal techniques, including those already in operation, must require environmental impact assessments and monitoring, in-line with the precautionary approach, and life cycle analysis pre-deployment on a case-by-case basis to determine their effectiveness and impact and secure environmentally sound management ¹². Removal and remediation techniques that fail to pass environmental impact assessments that demonstrate high risk to regional biodiversity must be halted. This will help ensure that clean-up and restoration activities are done in an ecologically sensitive manner.

Further, we support the creation of a database, that facilitates a knowledge exchange between countries, so that all can benefit from scoping trials and research studies to establish safe and environmentally sound legacy plastic removal techniques.

Preferred Option for 11. Existing plastic pollution, including in the marine environment (page 36 of INC.4/3)	Recommended language	Rationale
 Option 1 Parties [shall] [are encouraged] [will take actions and	 Option 1 Parties [shall] [are encouraged] [will take actions and	Once in the environment,
shall] [cooperate] [in accordance with the Common but	shall] [cooperate] [in accordance with the Common but	the impact of macro- and
Differentiated Responsibility to respective capabilities]	Differentiated Responsibility to respective capabilities]	micro- plastic pollution can
[to] [mobilize resources from multi-stakeholders,	[to] [mobilize resources from multi-stakeholders,	be severe and wholescale
including, intergovernmental organizations, non-	including, intergovernmental organizations, non-	retrieval is both impractical,
governmental organizations, academic and scientific and	governmental organizations, academic and scientific and	unfeasible, and rarely cost-
research.	research	effective, which is why we



Zoological Society

Preferred Option for 11. Existing plastic pollution, including in the marine environment (page 36 of INC.4/3)	Recommended language	Rationale
Option 1 institutes, international financial institutions and multilateral development banks, non-profit organizations and other relevant organizations or associations] [, including through the cooperation mechanism* referred to in Part III, article number yet to be defined]], to: a. [cooperate to] [assess] [evaluation, identification and prioritization of][, identify and prioritize] accumulation zones, [and] [hotspots] [critical points] [and sectors]: i. most affected by existing plastic pollution, [including] in the [terrestrial, freshwater and the] marine environment [and areas beyond national jurisdiction]; and ii. [where] [that evaluations identify accumulation areas with] quantities and types of [litter] [plastic pollution] [garbage that represent] [pose] a threat to [human health,] species or habitats [taking into account the [full][complete] life cycle of plastics].	Option 1 institutes, international financial institutions and multilateral development banks, non-profit organizations and other relevant organizations or associations] [, including through the cooperation mechanism* referred to in Part III, article number yet to be defined]], to: a. [cooperate to] [assess] [evaluation, identification and prioritization of][, identify and prioritize] ecosystems impacted by plastic pollution accumulation zones, [and] [hotspots] [critical points] [and sectors]: i. most affected by existing plastic and microplastic pollution, {including} in the {terrestrial, rivers, freshwater and the] marine environment {and areas beyond national jurisdiction}; and ii. where] [that evaluations identify accumulation areas with] quantities and types of [litter] [plastic and microplastic pollution, {garbage that represent] {pose} a threat to {human health,] and biodiversity-species or habitats {taking into account the [full][complete] life cycle of plastics].	advocate, where possible, for existing plastic pollution removal to address areas most affected and/or be conducted as close to the source as possible to be most effective. As noted in Option 1.1 c, knowledge-sharing between countries, especially with those that cannot afford to perform scoping trials, should be facilitated, such as via a shared database.





Preferred Option for 11. Existing plastic pollution, including in the marine environment (page 36 of INC.4/3)	Recommended language	Rationale
INC.4/3) Option 1 b. Take [adopt] [effective] mitigation and [remediation measures][removal actions], including clean-up activities [for the] [in identified] accumulation zones, [and] hotspots [and [critical] sectors] identified, [taking into account the provisions [in existing international agreements] [of international agreements in force,] including those [relevant][relating] to the conservation and sustainable use of [terrestrial, freshwater and] marine [environment and] biological diversity, [including in areas [beyond][located outside] national jurisdiction;]][and manage and dispose of removed plastic pollution in an environmentally sound manner] [taking into account special circumstances of small island developing States,] [and the disproportionate impacts on small island developing States; and OP 1.b. bis. collect data and information on existing plastic pollution to support monitoring in accordance with [Part IV.4 on Periodic assessment and monitoring of the prosented of the plastic pollution of the prosented and the disproportionate of the plastic pollece o	Option 1 b. Take [adopt] [effective] mitigation and [remediation measures][removal actions], including locally appropriate and ecologically sensitive clean-up and restoration activities [for the] [in identified] ecosystems with high levels of plastic pollution accumulation zones, [and] hotspots [and [critical] sectors] identified, [taking into account the provisions [in existing international agreements] [of international agreements in force,] including those [relevant][relating] to the conservation and sustainable use of [terrestrial, freshwater, rivers and] marine [environment and]-biological diversity, [including in areas [beyond][located outside] national jurisdiction;]] [and manage and dispose of removed plastic pollution in an environmentally and ecologically sound manner] [taking into account special circumstances of small island developing States,] [and the disproportionate impacts on small island developing States; and OP 1.b. bis. collect data and information on existing plastic pollution to support monitoring in accordance with [Part IV.4 on Deviction]	Plastic clean-up technologies must be informed and regulated by an expert body and ensure that biodiversity and ecosystems are not negatively impacted by clean-up efforts, and that the chemicals associated with plastics and their impacts on biodiversity are also taken into consideration when adopting ecologically sensitive clean-up and restoration activities, as added into Option 1.1b.
effectiveness evaluation]	implementation of the instrument* and effectiveness evaluation]	





Preferred Option for 11. Existing plastic pollution, including in the marine environment (page 36 of INC.4/3)	Recommended language	Rationale
 Option 1 c. [promote engagement of [all stakeholders, including,] [the] local [communities][population] [civil society,] and citizens][, non-governmental organization as well as the private sector,] in [safe and] environmentally sound [removal] ** [remediation] [activities]. OP 1.c. Alt. Promote safe and environmentally sound remediation activities, including through engagement with local population, communities, and citizens. OP 1.c. bis. Conducting investigations and distribution research on the current state of plastic pollution, including the marine environment, as well as developing technologies and establishing international standards for impact assessments, pollution removal and restoration. 	 Option 1 c. {promote engagement and knowledge exchange of {all stakeholders, including,} [the] local {communities} [population] [civil society,] and citizens] {f, non-governmental organization as well as the private sector,} in {safe and} environmentally sound [removal] ** [remediation] [activities]. OP 1.c. Alt. Promote safe and environmentally sound remediation activities, including through engagement with local population, communities, and citizens. OP 1.c. bis. Conducting investigations and distribution research on the current state of plastic pollution, including the marine environment, as well as developing technologies approaches and establishing international standards for impact assessments, pollution removal and restoration. 	In the absence of robust upstream measures to prevent plastic pollution, no extent of technological solutions and community clean-up efforts can fully address plastic pollution, and any measures to address existing plastic pollution must not be used to legitimise continued primary plastic production through off-setting schemes. The principles of the waste hierarchy must be followed as a priority, as added to Option 1.2.





Preferred Option for 11. Existing plastic pollution, including in the marine environment (page 36 of INC.4/3)	Recommended language	Rationale
Option 1	Option 1	
2. [Each Party][Developing countries] [should][encourage] make publicly available information [collected] on common	2. [Each Party][Developing countries] [should consult national stakeholders to consolidate and][encourage] make publicly	OP 1.c <i>bis.</i> - Suggest using 'approaches'
plastic pollution types and [trends, as well as on the]	available information {collected} on common plastic pollution types	Decause 'technologies' are
raise awareness and prevent further plastic pollution, including littering in [floodplains,] coastal and freshwater areas].	to the environment that lead to plastic pollution [, to raise awareness and prevent further plastic pollution, including littering in [floodplains,] coastal and freshwater areas]. Each Party should work with national stakeholders to support awareness-raising	just a subset of the much larger goal of developing ecologically
OP2 bis. Each Party exporting chemicals, polymers and products shall establish and implement an export permit requirement for such exports and track the types, volumes and destinations of all its exports.	activities, promoting the waste hierarchy and complementing this with necessary infrastructure to facilitate source reduction measures such as reuse and refill, recapture and safe recycling.	sensitive approaches to remediation.
3. The measures taken to implement the provisions of this article [Part II. 11] [shall][could] be reflected in the national plan communicated pursuant to [Part IV.1 on national plans].	OP2 bis. Each Party exporting chemicals, polymers and products shall establish and implement an export permit requirement for such exports and track the types, volumes and destinations of all its exports.	
	3. The measures taken to implement the provisions of this article [Part II. 11] [shall][could] be reflected in the national plan communicated pursuant to [Part IV.1 on national plans].	





Preferred Option for 11. Existing plastic pollution, including in the marine environment (page 36 of INC.4/3)	Recommended language	Rationale
Option 1	Option 1	
OP3 Alt 1. No text.	OP3 Alt 1. No text.	
4. The governing body* shall, at its first session, adopt:	4. The governing body* shall, at its first session, adopt:	
a. Indicators to identify accumulation zones, hotspots and sectors; and	a. Indicators informed by an expert body to identify ecosystems with high levels of plastic pollution accumulation zones, hotspots and sectors; and	
b. Guidance on best available techniques and best environmental practices, developed on the basis of best available science, [[including the] traditional knowledge, knowledge of Indigenous Peoples [' accessed with their free, prior and informed consent,] and local knowledge systems,] to address existing plastic pollution, with a view to ensuring the [effective mitigation and remediation measures, including] clean-up activities do not have potential for [negative] impacts on the environment, biodiversity and human health.	b. Guidance provided by an expert body on best available techniques and best environmental practices, developed on the basis of best available science,-[[including the] traditional knowledge, knowledge of Indigenous Peoples-[' accessed with their free, prior and informed consent,]-and local knowledge systems,] to address existing plastic pollution, with a view to ensuring the [effective mitigation and remediation measures, including] clean-up activities do not have potential for [negative] impacts on the environment, biodiversity and human health.	
appropriate, to facilitate implementation of this article.	OP 4 Alt 1. The <i>governing body</i> * should adopt guidance, as appropriate, to facilitate implementation of this article.	





Preferred Option for 11. Existing plastic pollution, including in the marine environment (page 36 of INC.4/3)	Recommended language	Rationale
Option 1	Option 1	
OP 4 Alt 2. The governing body* shall get an assessment of the existing plastic pollution in respect of each country from an appropriate subsidiary body, the financial resources required to mitigate and remediate the existing plastic pollution due to legacy plastic waste.	OP 4 Alt 2. The governing body* shall get an assessment of the existing plastic pollution in respect of each country from an appropriate subsidiary body, the financial resources required to mitigate and remediate the existing plastic pollution due to legacy plastic waste.	
OP 4 bis. Developed country Parties as the largest historical beneficiaries of plastic goods shall take the lead in tackling legacy and existing plastic pollution in the marine environment including beyond national jurisdiction.	OP 4 bis. Developed country Parties as the largest historical beneficiaries of plastic goods shall take the lead in tackling legacy and existing plastic pollution in the marine environment including beyond national jurisdiction.	





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